

0/529108

JC17 Rec'd PCT/PTO 24 MAR 2005

PATENT

Applicant: James C. Peacock, III

Serial No.:

Filed:

Title: Implantable Stent with
Modified Ends

EXAMINER:

Group Art Unit:

Atty Docket No.: 51298-00004 US

CERTIFICATE OF EXPRESS MAIL

I hereby certify that on 3/24/05, this
paper (along with any paper referred to as
being attached or enclosed) is being deposited
with the United States Postal Service to: Mail
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Maria Nadal

Maria Nadal

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Mail Stop PCT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§609 and 707.05(b), enclosed is a copy of the substitute for FORM PTO-1449 listing the references that are known to applicant. Applicants enclose copies of each of the listed references which are not U.S. patents.

This art is being filed for consideration in compliance with § 1.97 within the following time period:

37 C.F.R. § 1.97 (b) This information disclosure statement is being filed by the applicant within three months of the filing date of a national application.

The submission of the above-described documents does not constitute an admission nor should it be construed as a representation that a search has been made, construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in § 1.56(b).

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Applicant reserves the right to antedate or otherwise remove the documents as references.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of the substitute for FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

The Examiner is invited to contact the undersigned by telephone if it is felt that a telephone interview would advance the prosecution of the present application. Applicant believes that no fee is due with this communication; however, if the Commissioner deems a fee is due, the Director is hereby authorized to charge any fees to Deposit Account No. 50-3207. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

PRESTON GATES & ELLIS LLP

Dated: 3/24/05



Michelle S. Glasky
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Substitute for form 1449A/PTO

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Application Number	10/529108
Filing Date	
First Named Inventor	James C. Peacock, III
Group Art Unit	
Examiner Name	
Attorney Docket Number	51298-00004

Sheet	1	of	3
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U.S. PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US 3,936,577	02/03/1976	Christini, et al.	
		US 4,358,922	11/16/1982	Feldstein	
		US 4,397,812	08/09/1983	Mallory, Jr.	
		US 4,547,407	10/15/1985	Spencer Jr.	
		US 4,729,871	03/08/1988	Morimoto	
		US 4,917,895	04/17/1990	Lee et al.	
		US 5,145,517	09/08/1992	Felstein et al.	
		US 5,145,517	09/08/1992	Feldstein et al.	
		US 4,358,922	11/16/1992	Feldstein	
		US 4,374,669	02/22/1993	Mac Gregor	
		US 5,464,650	11/07/1995	Berg et al.	
		US 5,545,208	08/13/1996	Wolff et al.	
		US 5,725,570	03/10/1998	Heath	
		US 5,725,572	03/10/1998	Lam et al.	
		US 5,837,313	11/17/1998	Ding et al.	
		US 5,843,172	12/01/1998	Yan	
		US 5,843,172	12/01/1998	Yan	
		US 5,873,904	02/23/1999	Ragheb et al.	
		US 5,958,430	09/28/1999	Campbell et al.	
		US 5,964,798	10/12/1999	Imran	
		US 5,972,027	10/26/1999	Johnson	
		US 5,972,027	10/26/1999	Johnson	
		US 5,976,169	11/02/1999	Imran	
		US 6,019,784	02/01/2000	Hines	
		US 6,120,536	09/19/2000	Ding et al.	
		US 6,120,536	09/19/2000	Ding et al.	
		US 6,123,861	09/26/2000	Santini, Jr. et al.	
		US 6,168,610	01/02/2001	Marian et al.	
		US 6,174,329	01/16/2001	Callol et al.	
		US 6,180,162	01/30/2001	Shigeru et al.	
		US 6,240,616	06/05/2001	Yan	
		US 6,240,616	06/05/2001	Yan	
		US 6,253,443	07/03/2001	Johnson	
		US 6,273,910	08/14/2001	Limon	

Examiner Signature	Date Considered
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet	2	of	3	Application Number	10/529108
				Filing Date	
				First Named Inventor	James C. Peacock, III
				Group Art Unit	
				Examiner Name	
				Attorney Docket Number	51298-00004

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		Number-Kind Code ² (if known)			
		US 6,273,913	08/14/2001	Wright et al.	
		US 6,287,249	09/11/2001	Tam et al.	
		US 6,287,285	09/11/2001	Michal et al.	
		US 6,287,331	09/11/2001	Heath	
		US 6,290-721	09/18/2001	Heath	
		US 6,299,604	10/09/2001	Ragheb et al.	
		US 5,064,435	11/12/1991	Porter	
		US 6,315,794	11/13/2001	Richter	
		US 6,355,058	03/12/2002	Pacetti et al.	
		US2002/0055770	05/09/2002	Doran et al.	
		US 6,447,664	09/10/2002	Taskovics et al.	
		US 6,475,644	11/05/2002	Hampikian et al.	
		US 2003/0028242 A1	02/06/2003	Vallana et al.	
		US 2003/0096064 A1	05/22/2003	Suda et al.	
		US 6,599,928	07/29/2003	Kunz et al.	
		US 6,716,444	04/06/2004	Castro et al.	
		US 6,730,064	05/04/2004	Ragheb et al.	
		US			

FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		County Code ³ Number ⁴ Kind Code ⁵ (if known)				
		EP 0470 246 B1	06/28/1995	Medtronic, Inc.		
		EP 0 850 604 B1	07/01/1998	Sorin Biomedica Cardio S.p.A.		
		EP 0 916 317 A1	05/19/1999	Medinol Ltd.		
		WO 01/14617 A1	03/01/2001	Angio-Gene, Inc.		
		WO 01/15751 A1	03/08/2001	Bioxid Oy		
		WO 01/70294 A2	09/27/2001	Scimed Life Systems, Inc.		
		WO 02/058775 A2	08/01/2002	Radio Vascular, Inc.		

Examiner Signature	Date Considered
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		WO 02/078785 A2	10/10/2002	Radio Vascular, Inc.		
		WO 03/045582 A1	06/05/2003	Nanomedical Technology		
		WO 2004/0432992 A2	05/27/2004	Setagon, Inc.		

NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		KAISHEVA, et al.; "Influence of the Surface Properties of SiC Particles on Their Codeposition with Nickel," <u>Journal of The Electrochemical Society</u> ; 2004; pp. C89-C96; Volume 151; Number 1; The Electrochemical Society	
		JEANMENNE, Robert A. Jr., et al.; "Electroless Plating on Medical Catheters," PF Online Feature Article; pp. 1-4	
		GERTNER, M.E. & M. Schlesinger; "Electrochemistry and Medical Devices Friend or Foe?," <u>The Electrochemical Society Interface</u> , Fall 2003; pp 20-24	
		SCHLESINGER, Mordechai & Milan Paunovic; "Electroless Deposition of Nickel," <u>Modern Electroplating</u> , 2000, pp 667-737, 4 th Edition, John Wiley & Sons, Inc., Canada	
		GERTNER, Michael E. & M. Schlesinger, "Drug Delivery From Electrochemically Deposited Thin Metal Films," <u>Electrochemical and Solid-State Letters</u> , February 7, 2003, 6(4): pp J4-J6	
		FIELDS, William D. et al.; "Electroless Nickel Plating," New Market Development, Allied-Kelite. Division of the Richardson Co., pp 219-242	
		HAJDU, Juan; "Chapter 7 – Surface Preparation for Electroless Nickel Plating," pp 193-206	

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